

**MIDCONTINENT INDEPENDENT SYSTEM OPERATOR, INC.  
MEMBERSHIP APPLICATION FOR  
TRANSMISSION FACILITIES OWNER**

**Please submit completed application and check for \$15,000<sup>1</sup> Membership Application Fee  
VIA OVERNIGHT DELIVERY TO:**

Secretary  
Midcontinent Independent System Operator, Inc.  
720 City Center Drive  
Carmel, IN 46032

**OR**

**IF PAYING THE MEMBERSHIP APPLICATION FEE VIA WIRE TRANSFER OR ACH  
SUBMIT COMPLETED APPLICATION VIA EMAIL TO:**

[membership@misoenergy.org](mailto:membership@misoenergy.org) and [tointegrations@misoenergy.org](mailto:tointegrations@misoenergy.org)

**I. Applicant Information**

- a. Applicant's Full Legal Name: \_\_\_\_\_  
\_\_\_\_\_
- b. Main Office Address: \_\_\_\_\_  
\_\_\_\_\_
- c. Main Office Telephone Number: \_\_\_\_\_
- d. Is Applicant a MISO Market Participant? If so, please provide Market Participant Entity Code [as registered with OATI (Open Access Technology International, Inc.) webRegistry]: \_\_\_\_\_
- e. Applicant is *(please check by clicking on the appropriate category/checkbox below and choosing the "checked" option)*:
- A corporation organized under the laws of \_\_\_\_\_
- A partnership organized under the laws of \_\_\_\_\_
- A cooperative organized under the laws of \_\_\_\_\_
- A political subdivision of \_\_\_\_\_
- Other (please describe) \_\_\_\_\_

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<sup>1</sup> The initial \$15,000 Membership fee is waived if an Applicant is affiliated with another MISO Member. See Sections IV and VI.

- f. Applicant presently operates as: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
(e.g., Rural Electric Cooperative, Cogenerator, Exempt Wholesale Generator, Municipal Utility, Power Marketing Administration, Vertically Integrated Utility)
- g. Applicant's geographical area of operation: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## II. Additional Required Documentation

- a. As a Transmission-Owning Member of MISO, the Applicant herein agrees to fully execute and submit a [signature page](#) to the "Agreement of Transmission Facilities Owners to Organize the Midcontinent Independent System Operator, Inc., A Delaware Non-Stock Corporation" ("MISO Agreement" See [Rate Schedule 01](#)).
- b. As a Transmission-Owning Member of MISO, the Applicant herein agrees to fully execute and submit a [signature page](#) to the [Supplemental Agreement](#) by and between MISO, International Transmission Company and each of the MISO Transmission Owners ("Supplemental Agreement").<sup>2</sup>
- c. As a Transmission-Owning Member of MISO, the Applicant herein agrees to enter into an [Agency Agreement](#) pursuant to Appendix G of the MISO Agreement to authorize MISO to act as its agent in the performance of tariff administrative duties with regard to Non-transferred Transmission Facilities.

## III. Sector Participation

- a. Applicant herein selects the following stakeholder group<sup>3</sup> for representation and voting purposes at the MISO Advisory Committee Meetings (*please check by clicking on the appropriate category/checkbox below and choosing the "checked" option*):

Transmission Owner;

Independent Power Producers and Exempt Wholesale Generators;

Power Marketers and Brokers;

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<sup>2</sup> The Supplemental Agreement was approved by the Federal Energy Regulatory Commission ("Commission") on December 20, 2001. See, *International Transmission Company, et al.*, 97 FERC ¶ 61,328 (2001). Pursuant to Section 3.5 of the Supplemental Agreement, any person or entity seeking to join the MISO as an Owner shall, as a condition to being granted ownership status, be required to sign the Supplemental Agreement and be bound by all of its terms and conditions.

<sup>3</sup> Pursuant to MISO's [Stakeholder Governance Guide](#), the Applicant will belong to this stakeholder group for the calendar year. Members may change stakeholder groups annually by providing written notice to [membership@misoenergy.org](mailto:membership@misoenergy.org). See Stakeholder Governance Guide, Section 2 (Stakeholder Sectors and Voting Rights). Members must meet any applicable Board-approved, eligibility guidelines established by such stakeholder groups, which can be accessed at the following link: [Contact Your Stakeholder Group](#) [click on the appropriate Sector Guidelines].

Municipals, Cooperatives and Transmission Dependent Utilities;  
Eligible End-Use Customers;  
Environmental/Other; or  
Competitive Transmission Developers.

#### IV. **Applicant Affiliations**

- a. **Affiliated Memberships.** Is the above-named Applicant an Affiliate<sup>4</sup> of any other current MISO Member or Members? If yes, please indicate the name(s) of the existing Member(s) that the Applicant is affiliated with and also provide an organizational chart, or a condensed organizational chart, showing the ownership relationship between the Applicant and the current MISO Member(s). If no, please indicate this and skip question IVb.

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- b. **Affiliated Members—Primary Voting Member.** Affiliated Members, which share the same parent company, are entitled to one vote between them. Affiliated Members must designate which entity will be the **primary voting Member**<sup>5</sup> to cast the shared, single vote. If affiliated with other Member(s), please indicate the primary voting Member who is authorized to cast the vote on behalf of the above-named Applicant:

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<sup>4</sup> MISO's current membership list is available at the following link: [Stakeholder Group Participation List](#). An Affiliate is defined as, "With respect to a person or entity, any individual, corporation, partnership, firm, joint venture, association, joint stock company, trust or unincorporated organization, directly or indirectly controlling, controlled by, or under common control with, such person or entity. The term 'control' shall mean the possession, directly or indirectly, of the power to direct the management or policies of a person or an entity. A voting interest of ten percent or more shall create a rebuttable presumption of control." Members who are corporately Affiliated shall be treated as a single Member for purposes of Sections III(C)(2) and V(A)(1) of Article Two of the Agreement of Transmission Facilities Owners to Organize the Midcontinent Independent System Operator, Inc. a Delaware Non-Stock Corporation ("MISO Agreement"). Please note that MISO Membership is a distinct designation/classification from being a MISO Market Participant (*i.e.*, an entity that has successfully completed MISO's registration process and is qualified as a Market Participant, being financially responsible for all of its market activities and obligations and which has the capability to participate in relevant market activities), and not all MISO Market Participants are also MISO Members.

<sup>5</sup> Please note that MISO looks to the primary voting Member for remittance of the annual \$1,000 Membership fee on behalf of itself and any affiliated Members, as applicable.

**V. Authorized Representatives**

- a. **Member Voting Representative** (*i.e.*, sole authorized individual to cast the official vote on behalf of the Applicant concerning matters subject to a Membership vote<sup>6</sup> (*e.g.*, election of MISO Board of Directors, changes to Board compensation, etc.):

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

- b. **Regulatory Notice Representative** (*i.e.*, authorized representative to receive daily official notifications on behalf of the Applicant regarding MISO FERC filings) (to list additional regulatory notice representatives, add additional pages as necessary):

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

- c. **Regulatory Counsel of Record** (*i.e.*, authorized legal representative of the Applicant) (to list additional regulatory counsel, add additional pages as necessary):

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm (if applicable): \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

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<sup>6</sup> Pursuant to Article Two, Section (V)(B)(5) of the MISO Agreement, each Member shall be entitled to one vote.

- d. **Affiliated Securities Information Contact(s)** (*i.e.*, authorized financial representative(s) to address questions regarding affiliations and security issuances for purposes of compiling MISO’s prohibited securities list. If applicant is currently a MISO Market Participant, this section may be left blank.):

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

- e. **Member Annual Fee Representative** (*i.e.*, authorized representative to receive official correspondence on behalf of the Applicant regarding its annual membership payment) (to list additional annual membership representatives, add additional pages as necessary):

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

- f. **Alternative Dispute Resolution (“ADR”) Representative** (*i.e.*, authorized representative to receive official correspondence on behalf of the Applicant regarding requests for and resolutions of ADR, as well as notifications of opportunities to participate in informal dispute resolution discussions) (to list additional ADR representatives, add additional pages as necessary):

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

## VI. Membership Fee

Pursuant to Article Six of the MISO Agreement, all entities eligible for membership in MISO shall pay an initial non-refundable membership application fee of \$15,000 in order to be considered for membership. Each year thereafter, Members are required to remit an annual \$1,000 membership fee within 30 days of receipt of MISO's invoice. All such fees are nonrefundable and may be adjusted from time-to-time, as may be appropriate by the Board.

This fee was specifically approved by the Federal Energy Regulatory Commission in its Order conditionally approving the establishment of MISO. *See Midwest Indep. Transmission Sys. Operator, Inc.*, 84 FERC ¶ 61,231 (1998). The Commission also stated that "there is no restriction in the MISO Agreement to preclude potential Members from pooling financial resources to pay the application fee and annual dues, *i.e.*, have a collective membership interest."

Accordingly, each Applicant shall submit with its application a check made payable to "Midcontinent Independent System Operator, Inc." in the amount of \$15,000. Wire transfer or automated clearing house (ACH) options are also available and preferred.

If the Applicant consists of several entities or individuals who wish to share a membership, the \$15,000 membership fee will cover the entire group's membership; however, the group will be entitled to only one (1) vote for Board matters (*e.g.*, election of MISO Board of Directors, changes to Board compensation, etc.).

Applicant's Full Legal Name: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_  
(Officer Signature)

\_\_\_\_\_  
(Print name)

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Comments or questions regarding membership?

Please contact [membership@misoenergy.org](mailto:membership@misoenergy.org)